

# NCP Annual Reporting Questionnaire 2023

## A. Contact information

Please indicate your country:

<input type="radio"/>	Argentina
<input type="radio"/>	Australia
<input type="radio"/>	Austria
<input type="radio"/>	Belgium
<input type="radio"/>	Brazil
<input type="radio"/>	Bulgaria
<input type="radio"/>	Canada
<input type="radio"/>	Chile
<input type="radio"/>	Colombia
<input type="radio"/>	Costa Rica
<input type="radio"/>	Croatia
<input type="radio"/>	Czech Republic
<input type="radio"/>	Denmark
<input type="radio"/>	Egypt
<input type="radio"/>	Estonia
<input type="radio"/>	Finland
<input type="radio"/>	France
<input type="radio"/>	Germany
<input type="radio"/>	Greece
<input type="radio"/>	Hungary
<input type="radio"/>	Iceland
<input type="radio"/>	Ireland
<input type="radio"/>	Israel
<input type="radio"/>	Italy

<input type="radio"/>	Japan
<input type="radio"/>	Jordan
<input checked="" type="radio"/>	Kazakhstan
<input type="radio"/>	Korea
<input type="radio"/>	Latvia
<input type="radio"/>	Lithuania
<input type="radio"/>	Luxembourg
<input type="radio"/>	Mexico
<input type="radio"/>	Morocco
<input type="radio"/>	Netherlands
<input type="radio"/>	New Zealand
<input type="radio"/>	Norway
<input type="radio"/>	Peru
<input type="radio"/>	Poland
<input type="radio"/>	Portugal
<input type="radio"/>	Romania
<input type="radio"/>	Slovak Republic
<input type="radio"/>	Slovenia
<input type="radio"/>	Spain
<input type="radio"/>	Sweden
<input type="radio"/>	Switzerland
<input type="radio"/>	Tunisia
<input type="radio"/>	Turkey
<input type="radio"/>	Ukraine
<input type="radio"/>	United Kingdom
<input type="radio"/>	United States
<input type="radio"/>	Uruguay

Please provide the names, titles and contact information of all the people to be included in the [contacts list \(https://one-communities.oecd.org/community/invnncp/SitePages/uploaded-document-137287.aspx\)](https://one-communities.oecd.org/community/invnncp/SitePages/uploaded-document-137287.aspx) on the ONE Community:

Names, job titles and emails of all people to be included:

The Secretariat of the NCP of Kazakhstan ncpkaz@economy.kz +7 7172 9999-12

## B. Institutional arrangements - (a) Structure, location and composition of the NCP

1. What is the **structure** (<https://community.oecd.org/docs/DOC-205524>) of the NCP?

<input type="radio"/>	Single agency
<input type="radio"/>	Inter agency
<input type="radio"/>	Expert based
<input checked="" type="radio"/>	Multipartite
<input type="radio"/>	Hybrid

1a. Did the structure of the NCP change in 2023? If yes, please provide details in the comment box at the end of this section.

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

## 2. Who are the staff of the NCP?

Please describe the functions of the NCP staff.

The structure of the NCP consists of a collegial advisory body and a Secretariat. The NCP is headed by the Chairman – the Minister of National Economy of the Republic of Kazakhstan. The composition of the NCP is divided into the main and expert. The main composition of the NCP is a permanent collegial composition consisting of vice–ministers of the Ministry of National Economy, Justice of Foreign Affairs, Deputy Chairman of the National Chamber of Entrepreneurs "Atameken" and the public foundation "Legal Policy Research Center" in the number of no more than 5 (five) people. The expert composition is the involved composition of the NCP, which is formed depending on the subject of violations of the OECD Guidelines and consists of representatives of sectoral organizations and bodies, anti-corruption agency, heads of trade unions, non–governmental organizations. Main members decide on most issues, ranging from the review of specific instances to the approval of NFP working procedures and internal documents. Expert members are called upon to deal with specific instances by industry.

## 3. Please select all groups represented in the NCP structure (excluding advisory body), and list the members.

<input checked="" type="checkbox"/>	Business	Main member staff
<input checked="" type="checkbox"/>	Trade unions	Expert member staff
<input checked="" type="checkbox"/>	Civil society	Expert member staff
<input checked="" type="checkbox"/>	Government (include ministry/department/agency they represent)	Main and Expert members staff
<input type="checkbox"/>	Other NCP members	

## 4. Does the NCP have a **secretariat**?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

4. (Continued) If the NCP has a Secretariat, in which **ministry or agency** is the Secretariat located?

<input checked="" type="radio"/>	Ministry with an economic focus (economy, finance, treasury, trade, etc.)
<input type="radio"/>	Ministry of Foreign Affairs
<input type="radio"/>	Investment or trade agency
<input type="radio"/>	Private entity

4. (Continued) If the NCP has a Secretariat, in which **department** of the ministry or agency is the Secretariat located?

JSC "Economic Research Institute"

4. (Continued) If the NCP has a Secretariat, did the location of the Secretariat change in 2023?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

5. Has the NCP been established through a **legislative, regulatory or administrative instrument** (e.g. a statute, a decree, a ministerial decision)? If yes, please provide the name and date of this instrument, and a link if available, in the comments box.

<input type="radio"/>	Law
<input checked="" type="radio"/>	Decree, order or similar
<input type="radio"/>	Other executive decision
<input type="radio"/>	Not established by a legal instrument

Resolution of the Government of the Republic of Kazakhstan dated November 12, 2012 No. 1453 "On determining the body responsible for the implementation of the functions of the National Contact Center in accordance with the practice of the countries of the Organization for Economic Cooperation and Development" Link to the document: <http://adilet.zan.kz/rus/docs/P1200001453#z1>

**Additional comments** on the section **Structure, location and composition of the NCP:**

## B. Institutional arrangements - (b) Advisory body

6. Does the NCP have one or several **advisory bodies**?

<input type="radio"/>	Yes, one advisory body
<input type="radio"/>	Yes, several advisory bodies
<input checked="" type="radio"/>	No

6. (continued) Was the advisory body added/modified in 2023? Please describe.

<input type="radio"/>	Yes
<input type="radio"/>	No

6. (Continued) If the NCP has several advisory bodies, please **specify**.

6. (Continued) If yes, please describe the advisory body(ies)' **functions** (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing oversight, etc.)

6. (Continued) Did the advisory body(ies) contain representatives from the following groups? Please select all that apply and list the members from each group in the adjacent comment box.

<input type="checkbox"/>	Business	
<input type="checkbox"/>	Trade unions	
<input type="checkbox"/>	Civil society	
<input type="checkbox"/>	Government	
<input type="checkbox"/>		

6. (Continued) Please indicate how often the advisory body(ies) has met in 2023.

<input type="radio"/>	The advisory body did not meet in 2023
<input type="radio"/>	Once
<input type="radio"/>	Twice
<input type="radio"/>	Three times
<input type="radio"/>	Four times
<input type="radio"/>	More than four times

7. If an advisory body provides oversight, please describe the **oversight procedure**:

**Additional comments** on the section **Advisory body(ies)**:

## B. Institutional arrangements - (c) Human and financial resources

8. Does the NCP have dedicated **full-time staff** members?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

8. (Continued) Please indicate the **number** of **full-time** staff members:

0

9. Does the NCP have dedicated **part-time staff** members?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

9. (Continued) Please indicate the **number** of **part-time** staff members:

5

9. (Continued) Please indicate the **percentage of time spent** on NCP matters for **each part-time** staff member:

50%

10.1 Have any **full-time** staff members **joined** the NCP during the year?

Yes

No

10.1 (Continued) Please indicate **how many full-time** staff members **joined** the NCP during the year:

0

10.2 Have any **part-time** staff members **joined** the NCP during the year?

Yes

No

10.2 (Continued) Please indicate **how many part-time** staff members **joined** the NCP during the year:

0

11.1 Have any **full-time** staff members **left** the NCP during the year?

Yes

No

11.1 (Continued) Please indicate **how many full-time** staff members **left** the NCP during the year:

0

11.2 Have any **part-time** staff members **left** the NCP during the year?

Yes

No

11.2 (Continued) Please indicate **how many part-time** staff members **left** the NCP during the year:

0

12. Did the NCP have a **dedicated budget** this year?

Yes

No

12. (Continued) If no, were **financial resources for promotional activities** allocated on an ad hoc basis when requested by the NCP?

Yes

No

12. (Continued) If no, were **financial resources for specific instances** allocated on an ad hoc basis when requested by the NCP?

Please select N/A (Not Applicable) if the NCP did not handle specific instances this year.

Yes

No

N/A

### 13. During the year, did the **human and financial resources** available to the NCP **allow it to:**

Please select "N/A" if the response was not relevant to the NCP's work in 2023 (e.g. if the NCP did not handle specific instances).

	Yes	No	N/A
Handle specific instances in an efficient and timely manner	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Organise promotional events	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Provide support to efforts by their government to develop, implement, and foster coherence of policies to promote RBC	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Attend NCP meetings at the OECD	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Attend events organised by other NCPs	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Attend events organised by stakeholders	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Cover professional mediator fees or in-house mediator fees	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Conduct fact-finding research into specific instances	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>

### 13. (Continued) If you have answered "no" to any of the above, please **specify:**

The expenses for hiring professional mediators are covered in accordance with the Law of the Republic of Kazakhstan «On mediation» and are not provided by the budget allocated for the work of NCP.

### **Additional comments** on the section **Human and financial resources:**

## B. Institutional arrangements - (d) Reporting

### 14. Does the NCP **report to the executive** on its activities?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

14. (Continued) If yes, **how often?**

<input type="radio"/>	On an ongoing basis
<input type="radio"/>	Less than once a year
<input checked="" type="radio"/>	Once a year
<input type="radio"/>	Twice a year
<input type="radio"/>	Three times a year
<input type="radio"/>	More than three times a year

15. Does the NCP **report to the legislative body** on its activities?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

15. (Continued) If yes, **how often?**

<input type="radio"/>	On an ongoing basis
<input type="radio"/>	Less than once a year
<input type="radio"/>	Once a year
<input type="radio"/>	Twice a year
<input type="radio"/>	Three times a year
<input type="radio"/>	More than three times a year

16. Please indicate **any other relevant transparency requirement(s)** (e.g. access to information or disclosure laws) applicable to the NCP in your country:

All information relating to the activities of NCPs, including the status of specific instances is published on the official NCP website

**Additional comments** on the section **Reporting**:

## C. Information and promotion - (a) Website

17. Does the NCP have a **website**?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

17. (Continued) If yes, please provide the **link**:

<http://ncp.economy.kz> <https://www.gov.kz/memleket/entities/economy/activities/6109?lang=en>

17. (continued) Was the website created/renovated this year?

<input type="radio"/>	The NCP website was created in 2023.
<input type="radio"/>	The NCP website was renovated in 2023 (excludes routine updates).
<input checked="" type="radio"/>	The NCP website was not created/renovated in 2023.

18.1 Are the following **items available** on the NCP website?

**Information about the Guidelines and the role of the NCP:**

	Yes	No	N/A
The text of the Guidelines	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
A description of the Guidelines	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
The OECD Due Diligence Guidance Documents	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Explanatory text about due diligence	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
OECD Recommendation on the Role of Government in Promoting Responsible Business Conduct	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Ministerial Declaration on Promoting and Enabling Responsible Business Conduct in the Global Economy	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Information on the NCP and its mandate	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
The NCP Annual Report submitted to the OECD	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
The NCP's Report to the executive and/or legislative (if applicable)	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
The NCP's peer review report (if applicable)	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>

18.2 Are the following **items available** on the NCP website?

**Information about specific instances**

	Yes	No
Information on how to submit a specific instance	<input checked="" type="radio"/>	<input type="radio"/>
An online form to submit a specific instance	<input checked="" type="radio"/>	<input type="radio"/>
The NCP's rules of procedure	<input checked="" type="radio"/>	<input type="radio"/>
All final statements since 2011	<input checked="" type="radio"/>	<input type="radio"/>

18.3 Are the following **items available** on the NCP website?

**Information on promotional activities:**

	Yes	No
The NCP's promotional plan	<input checked="" type="radio"/>	<input type="radio"/>
Information on upcoming events promoting the Guidelines	<input type="radio"/>	<input checked="" type="radio"/>
Information on past events promoting the Guidelines	<input checked="" type="radio"/>	<input type="radio"/>

18.4 Are the following **items available** on the NCP website?

**Contact information:**

	Yes	No
Information on how to make an enquiry to the NCP	<input checked="" type="radio"/>	<input type="radio"/>
A phone number to reach the NCP directly	<input checked="" type="radio"/>	<input type="radio"/>
An email address to reach the NCP directly	<input checked="" type="radio"/>	<input type="radio"/>

**Additional comments** on the section **NCP website:**

## C. Information and promotion - (b) Promotional activities\*

19. Does the NCP have a **promotional plan** for the coming year(s)? If yes and it is public, please provide a link in the comments box.

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

[https://economy.kz/en/Nacionalnyj\\_kontaktnyj\\_centr/Plan\\_meroprijatij\\_NKC/](https://economy.kz/en/Nacionalnyj_kontaktnyj_centr/Plan_meroprijatij_NKC/)

20. Did the NCP **organise or co-organise events** to promote the Guidelines and/or the NCP during the year?

If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

21. Did the NCP make a **presentation** to promote the Guidelines and/or the NCP in **events organised by others**?

If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

22. Did the NCP make use of **social media** to communicate on NCP promotional activities during the year?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

22. (Continued) If yes, please list the accounts from which the NCP posts.

23. Did the NCP hold a **stakeholder meeting** during the year?

Yes

No

24. Did the NCP **promote** the Guidelines among the **business community** during the year?

Yes

No

25. Did the NCP carry out any **training** on the Guidelines aimed at **businesses** during the year?

Yes

No

26. Did the NCP **promote** the Guidelines among **NGOs** during the year?

Yes

No

27. Did the NCP **promote** the Guidelines among **trade unions** during the year?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

28. Did the NCP focus on any of the following during **promotional activities** during the year:

	Yes	No
OECD Due Diligence Guidance for Responsible Business Conduct	<input type="radio"/>	<input checked="" type="radio"/>
OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector	<input type="radio"/>	<input checked="" type="radio"/>
Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors and/or Responsible Business Conduct Due Diligence for Project and Asset Finance Transactions	<input type="radio"/>	<input checked="" type="radio"/>
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	<input type="radio"/>	<input checked="" type="radio"/>
OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector	<input type="radio"/>	<input checked="" type="radio"/>
OECD-FAO Guidance for Responsible Agricultural Supply Chains	<input type="radio"/>	<input checked="" type="radio"/>

**Additional comments** on the section **Promotional activities**:

## D. Specific Instances - (a) NCP case-handling procedures for specific instances

29. Does the NCP have **case-handling procedures**?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

30. Are the case-handling procedures available **online**?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

30. (Continued) If yes, please provide a **link** in the text box.

[http://economy.kz/documents/OECD/NKC/Poryadok\\_rassmotreniya\\_obr\\_ENG.pdf](http://economy.kz/documents/OECD/NKC/Poryadok_rassmotreniya_obr_ENG.pdf)

30. (continued) If no, please **upload** a copy of the case-handling procedures in the **Additional Materials** section at the end of the online questionnaire.

31. Were the NCP's case-handling procedures **modified** this year?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

31. (continued) If yes, are they aligned with the 2023 version of the Guidelines?

<input type="radio"/>	Yes
<input type="radio"/>	No

31. (continued) If yes, were stakeholders consulted?

<input type="radio"/>	Yes
<input type="radio"/>	No

31. (continued) If no, what are the NCP's plans to update its case-handling procedures in alignment with the 2023 version of the Guidelines?

Please indicate estimated completion date and provide relevant details in the comments box.

<input checked="" type="radio"/>	Q1 2024
<input type="radio"/>	Q2 2024
<input type="radio"/>	Q3 2024
<input type="radio"/>	Q4 2024
<input type="radio"/>	2025 or later

The procedure for changes is carried out in accordance with national rules for the development of normative legal acts, which take a certain amount of time.

**Additional comments** on the section **Case-handling procedures**:

## D. Specific Instances - (b) Specific instances in practice

32. Has the NCP ever received a specific instance?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

32. (continued) If yes, how many years ago did the NCP receive its most recent specific instance?

<input checked="" type="radio"/>	Within the last year
<input type="radio"/>	1-5 years ago
<input type="radio"/>	5-9 years ago
<input type="radio"/>	More than 10 years ago

33. Does the NCP **request feedback from the parties** on the procedure following the conclusion of a specific instance?

Please select N/A if the NCP has never received a specific instance

<input type="radio"/>	Yes
<input type="radio"/>	No
<input checked="" type="radio"/>	N/A

34. Has the NCP staff undergone **training in dispute resolution or problem solving** (e.g. mediation)?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

35. Did the NCP conduct mediation this year?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No
<input type="radio"/>	N/A

35. (continued) If yes, who conducted the mediation?

<input type="radio"/>	The NCP conducted the mediation.
<input type="radio"/>	Mediation was conducted by a professional mediator.
<input type="radio"/>	Mediation in some cases was conducted by the NCP and in other cases by professional mediators.

**Additional comments** on the section **Specific instances in practice**:

## D. Specific Instances - (c) Reporting specific instances

36. Did the NCP receive **new specific instance** submissions during the year?

If yes, please also provide details in the **NCP Reporting Questionnaire Annex** document that will be uploaded at the end of the questionnaire.

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

**37. Did the NCP close specific instances during the year?**

If yes, please also provide details in the **NCP Reporting Questionnaire Annex** document that will be uploaded at the end of the questionnaire.

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

**38. Of the specific instances that were already in progress at the start of the year, are there any that are still **ongoing at the end of the year**?**

If yes, please also provide details in the **NCP Reporting Questionnaire Annex** document that will be uploaded at the end of the questionnaire.

Select N/A if no specific instances were in progress at the start of the year.

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No
<input type="radio"/>	N/A

**39. Did the NCP follow up on a case during the year?**

If yes, please also provide details in the **NCP Reporting Questionnaire Annex** document that will be uploaded at the end of the questionnaire.

Select N/A if no specific instances were concluded before the start of the year.

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No
<input type="radio"/>	N/A

40. Is the OECD database of specific instances (<https://mneguidelines.oecd.org/database/>) **accurate and up to date** with regard to cases handled by the NCP?

Select N/A if the NCP has never received a specific instance.

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No
<input type="radio"/>	N/A

40. (Continued) Please provide **details** (missing cases, out of date entries, broken links, etc.) in the box below:

**Additional comments** on the section **Reporting specific instances**:

## E. Peer learning and peer reviews

41. Did the NCP take part in the following **activities with other NCPs** during the year:

	Yes	No
Host a peer learning activity	<input checked="" type="radio"/>	<input type="radio"/>
Participate in peer learning activities hosted by other NCPs	<input type="radio"/>	<input checked="" type="radio"/>
Co-operate with other NCPs in handling specific instances	<input checked="" type="radio"/>	<input type="radio"/>
Provide mentoring/capacity building to another NCP	<input type="radio"/>	<input checked="" type="radio"/>
Participate in/host a meeting of regional networks of NCPs (excluding during the NCP meetings at the OECD)	<input checked="" type="radio"/>	<input type="radio"/>

42. Is the NCP interested in hosting an **NCP learning/experience-sharing event** in 2024?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

43. Which **topic** would the NCP consider to be a priority to cover in a **peer learning event**?

NCP of Kazakhstan is interested in participating in events dedicated to: - approaches to the consideration of specific instances; - issues of mediation and the use of other conciliation procedures in dispute resolution

44. Is the NCP interested in **participating** in developing **tools for use** by NCPs?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

45. Did the NCP act as a peer reviewer during a peer review onsite visit in 2023?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

45. (continued) If yes, indicate how many.

0

46. Did the NCP undergo a peer review (onsite visit) in 2023?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

46. (continued) If yes, please specify the date on which the onsite visit began.

2023-10-24 00:00:00.000

47. Is the NCP interested in acting as a **peer reviewer** in 2024?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

47. (continued) If yes, please specify the **semester**:

Semester 2

47. (continued) If no, please specify the NCP's earliest availability to act as a peer reviewer.

**Additional comments** on the section **Peer-learning and peer reviews**:

## F. Support for government policies to promote RBC\* - (a) Promotional work

48. Did the NCP have resources to provide support for government policies to promote RBC in 2023?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

49. In 2023, did the NCP promote the Guidelines among:

	Yes	No
Embassies abroad	<input type="radio"/>	<input checked="" type="radio"/>
Trade and investment promotion agencies (or other government entity in charge of trade and investment support)	<input type="radio"/>	<input checked="" type="radio"/>
Government agencies	<input type="radio"/>	<input checked="" type="radio"/>

50. Did the NCP promote the Recommendation on the Role of Government in Promoting RBC among relevant government officials during the year?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

**Additional comments** on the section **Promotional work for policy coherence:**

## F. Support for government policies to promote RBC - (b) RBC policy landscape and the role of the NCP

51. Have the Guidelines and/or the Recommendation on the Role of Government in Promoting RBC been referred to in **national legislation/regulations/policies/economic agreements** adopted during the year?

(e.g. on responsible business conduct; non-financial reporting, export credits, public procurement, trade and investment)

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No
<input type="radio"/>	N/A

51. (Continued) Please provide a **short summary** and a **link** to the legislation/regulation/policies/economic agreement.

If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

51. (continued) Was the NCP involved in and/or consulted during the development of the legislation/regulation/policies/economic agreement?

<input type="radio"/>	Yes
<input type="radio"/>	No

51. (continued) Does the legislation/regulation/policy/economic agreement make reference to the NCP/give a role to the NCP in its implementation?

Yes

No

52. Did your country adopt a **National Action Plan (NAP)** on Business and Human Rights or Responsible Business Conduct this year?

Yes

No

52. (Continued) Please indicate the **scope of the National Action Plan**:

NAP on Business and Human Rights

NAP on Responsible Business Conduct

52. (Continued) Please provide a **link to the NAP on Responsible Business Conduct**:

If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

52. (Continued) Did the **NAP on Responsible Business Conduct** make **reference** to:

	Yes	No
The Guidelines?	<input type="radio"/>	<input type="radio"/>
The NCP?	<input type="radio"/>	<input type="radio"/>
The Recommendation on the Role of Government in Promoting RBC?	<input type="radio"/>	<input type="radio"/>

52. (Continued) Was the NCP **involved and/or consulted** in the development of the **NAP on Responsible Business Conduct**? If yes, please specify the role of the NCP in the comments at the end of this section.

<input type="radio"/>	Yes
<input type="radio"/>	No

52. (Continued) Please provide a **link to the NAP on Business and Human Rights**:

If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

52. (Continued) Did the **NAP on Business and Human Rights** make **reference** to:

	Yes	No
The Guidelines?	<input type="radio"/>	<input type="radio"/>
The NCP?	<input type="radio"/>	<input type="radio"/>
The Recommendation on the Role of Government in Promoting RBC?	<input type="radio"/>	<input type="radio"/>

52. (Continued) Was the NCP **involved and/or consulted** in the development of the **NAP on Business and Human Rights**? If yes, please specify the role of the NCP in the comments at the end of this section.

<input type="radio"/>	Yes
<input type="radio"/>	No

53. Was a NAP **in development** this year?

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

53. (Continued) If yes, please indicate **the scope of the NAP**:

<input checked="" type="checkbox"/>	NAP on Business and Human Rights
<input type="checkbox"/>	NAP on Responsible Business Conduct

53. (Continued) Did the NCP **participate in the development** of the NAP? If yes, please specify the role of the NCP in the comments box at the end of this session.

<input checked="" type="radio"/>	Yes
<input type="radio"/>	No

53. (continued) Is there a role foreseen for the NCP in the implementation of the NAP?

Please add details in the comments box at the end of the section.

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

54. Does your national legislation or policy on **public procurement** refer to the **Guidelines** and/or **OECD due diligence instruments** and/or to the **NCP process**?

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No

54. (Continued) Please provide a **link** to the legislation.

If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

### **Additional comments on the section RBC policy landscape and the role of the NCP**

Work on the formulation of NAPs has begun in the Republic of Kazakhstan with the support of UNDP. NCPs are actively involved. In 2023, the results of the National Basic Assessment on Business and Human Rights were conducted and presented.

## F. Support for government policies to promote RBC - (c) Engagement with relevant officials

55. Did the NCP share statements, data and other insights with:

<input type="checkbox"/>	Officials responsible for public procurement	
<input type="checkbox"/>	Officials responsible for trade and investment support (including trade missions)	
<input type="checkbox"/>	Officials responsible for SOEs	
<input type="checkbox"/>	Officials in relevant government agencies	

56. Did the NCP inform officials responsible for reviewing eligibility for government support and services\* of companies' engagement in specific instances?

\*(e.g. such as trade advocacy, economic diplomacy, or other benefits)

<input type="radio"/>	Yes
<input checked="" type="radio"/>	No
<input type="radio"/>	N/A: The NCP has not handled a specific instance

57. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners, trade and investment officials, or SOEs officials?

<input type="checkbox"/>	As part of a public procurement process	
<input type="checkbox"/>	As part of dedicated events or training	
<input type="checkbox"/>	Other	
<input checked="" type="checkbox"/>	N/A: the NCP has not engaged or exchanged with officials/practitioners in this context	

58. Please identify any specific **initiatives/good practices** in your country, involving RBC and other policy areas like **public procurement, trade and investment, and/or SOEs**.

In 2022, the Road Map for the Promotion of NCPs and the OECD Guidelines was developed, which includes work on the implementation of principles of responsible business conduct. The Roadmap provides approaches to public funding for SMEs in return for responsible business conduct. Also, the new version of the draft law on public procurement provides for sustainable development with principles of responsible business conduct.

59. Please include any **other examples of policy coherence activities**:

**Additional comments on Engagement with relevant officials**

## G. Challenges

60. Has the NCP faced any particular **challenge(s)** it would like to highlight this year?

The principle of confidentiality had been violated in the case-handling process.

# Annex - NCP Promotional activities and Specific instances

**Additional materials** (e.g. case-handling procedures, legislations, flyers, other promotional materials) may be uploaded on the next page.

## Last page before submission

You have reached the **last page of the questionnaire before submitting your responses** to the OECD Secretariat.

Before submitting the questionnaire by clicking on "**Submit**", you may view your responses by clicking on the "**Print**" icon and save your responses by clicking on the "**Save progress and resume later**" icon at the bottom of the page.

Please click on "**Submit**" to submit the questionnaire.

## NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE 2023

### Annex

This document is to provide detailed information on **NCP promotional activities (Part 1 below)** and on **specific instances (Part 2 below)**.

#### INSTRUCTIONS

This document should be completed and saved locally on a computer and uploaded from the same computer at the end of the online questionnaire in the section “**Annex: NCP Promotional activities & Specific Instances**” (after question 60).

Please note that only **Word files** (.doc or .docx) can be uploaded.

**Guidance and instructions** on how to complete the questionnaire ([here](#)) and the annex ([here](#)) are accessible on the NCP O.N.E Community Space.

#### HELP & ASSISTANCE

Please contact [emily.halstead@oecd.org](mailto:emily.halstead@oecd.org) for any help or assistance to complete the questionnaire.

#### STRUCTURE

**Part 1: NCP PROMOTIONAL ACTIVITIES**

**Part 2: SPECIFIC INSTANCES**

<b>Please enter country name of NCP:</b>	Kazakhstan
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## PART 1: NCP PROMOTIONAL ACTIVITIES

**Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated (Table 2) to promote the NCP itself, Guidelines and related due diligence guidance.**  
Please add the indicated information in the table below. Any links to recordings or announcements from events may be included in the comments box. They may also be uploaded as documents with the Annex.  
Please add additional lines if needed. (Right click and select insert rows. The selection fields can be copied and pasted into the new rows)

**TABLE 1**  
**NCP-organised and co-organised events to promote the Guidelines and/or the NCP**

Title	Date <i>(dd/mm/yyyy)</i>	Location	Type of event	Size of audience	Organised or co-organised? If co-organised, include details of the co-organiser in comments box	Targeted audience <i>Select all that apply.</i>	Theme/Comments <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
Meeting with future graduates of Nazarbayev University	14-Apr-2023	Nazarbayev University	Meeting	<10	Co-organised	<input type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Familiarization with the activities of the NCP, as well as the OECD Guidelines for Multinational Enterprises
Seminar-lecture for undergraduates of the Academy of Public Administration under the President of the Republic of Kazakhstan	24-Apr-2023	Online	Other	10-50	Co-organised	<input checked="" type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Presentation of the activities of the NCP, the provisions of the OECD Guidelines for Multinational Enterprises
Seminar-lecture for doctoral students of the Academy of Public Administration under the President of the Republic of Kazakhstan	12-May-2023	Online	Other	<10	Co-organised	<input checked="" type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	NCP of Kazakhstan and the OECD Guidelines on the example of international cases
Seminar for regional leaders of the Civil Alliance of Kazakhstan in	17-Jul-2023	The building of the Civil Alliance of	Webinar	<10	Organised	<input checked="" type="checkbox"/> Business <input checked="" type="checkbox"/> NGOs	Familiarization with the activities of the NCP, as well as the OECD Guidelines for

Almaty		Kazakhstan in Almaty				<input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Multinational Enterprises
Seminar for the Regional Chamber of Entrepreneurs of Mangystau region in Aktau	03-Oct-2023	The building of the RCE of the Mangystau region	Webinar	<10	Organised	<input checked="" type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Familiarization with the activities of the NCP, as well as the OECD Guidelines for Multinational Enterprises, as well as solving problematic issues related to the business sector
Seminar for the Regional Chamber of Entrepreneurs of Aktobe region in Aktobe city	04-Oct-2023	The building of the RCE of Aktobe region	Webinar	10-50	Organised	<input checked="" type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Presentation of the activities of the NCP, the provisions of the OECD Guidelines for Multinational Enterprises
Seminar-lecture for 4th year students majoring in Political Science within the framework of the discipline "Theory and Practice of Political Mediation" of L.N. Gumilyov Eurasian National University	07-Nov-2023	The building of the L.N. Gumilyov Eurasian National University	Other	10-50	Co-organised	<input type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input checked="" type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	NCP of Kazakhstan and the OECD Guidelines on the example of international cases

<b>Additional comments:</b>	
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<b>Total number of events:</b>	7
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TABLE 2

## Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience <i>Select all that apply.</i>	Organiser(s)	Theme of the intervention
Workshop on dialogue with stakeholders on commitments in the field of sustainable development of Coca-Cola Icecek Kazakhstan for the period up to 2030	08-Feb-2023	Rixos President Astana Hotel Conference Room	Webinar	50-100	<input type="checkbox"/> Business <input checked="" type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Coca-Cola Kazakhstan	Presentation of the activities of the NCP and the OECD Guidelines
Presentation of the National Baseline Assessment, organized by the United Nations Development Programme and funded by the Government of Japan	14-Feb-2023	Astana Saad Hotel Conference Hall	Conference	50-100	<input type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify (Representatives of the quasi-public sector, Japanese multinational companies operating in the territory of the Republic of Kazakhstan, public associations and industry centers)	UNDP in Kazakhstan	Mediation conciliation procedures as a way to resolve conflicts between TNCs and the local population
Webinar organized by UNDP on "Ensuring an effective non-judicial complaints mechanism"	23-Feb-2023	Online	Webinar	10-50	<input type="checkbox"/> Business <input checked="" type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	UNDP in Kazakhstan	Mediation conciliation procedures as a way to resolve conflicts between TNCs and the local population
Round table on the topic "Future healthcare: Exchange of experience between Sweden and Kazakhstan on health system management" with the support of the Swedish medical company AstraZeneca	28-Apr-2023	Ritz-Carlton, Astana	Other	10-50	<input type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify (Swedish Ambassador to Kazakhstan, Vice Minister of Health of Kazakhstan, Head of the WHO Country Office in Kazakhstan, as well as other representatives of the medical and pharmaceutical sectors)	Swedish medical company AstraZeneca	Discussion of standards for responsible business conduct by Kazakhstani pharmaceutical industry enterprises in partnership with Swedish enterprises
Webinar on "Status of implementation of the UN Guiding Principles on Business and	25-May-2023	Online	Webinar	10-50	<input type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions	UNDP in Kazakhstan	Familiarization with the practice of introducing due diligence in the field of human rights into company

Human Rights in Central Asia: presentation of the UNDP survey study"					<input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify (Human rights policy specialists, business and human rights experts, program specialists on corporate governance, remedies and UN Guidelines)		policies, the UN Guiding Principles on Human Rights in Business, exchange of experience in identifying and recognizing human rights risks
IV Coal Industry Forum "Opportunities for the development of the coal industry in the context of a new energy paradigm" within the framework of the XIII International Mining and Metallurgical Congress Astana Mining & Metallurgy with the support of the Ministry of Industry and Infrastructure Development of the Republic of Kazakhstan	01-Jun-2023	The conference hall of the Hilton Astana Hotel	<b>Other</b>	<b>&gt;100</b>	<input type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify (Vice Minister of Industry and Infrastructure Development of the Republic of Kazakhstan, as well as other delegates from among the heads of government agencies, coal mining enterprises, and transport companies)	Ministry of Industry and Infrastructure Development of the Republic of Kazakhstan	Discussion of standards of responsible business conduct, as well as issues of due diligence in the extractive sector of Kazakhstan
Round table on "Promoting responsible business in Kazakhstan", organized by UNDP	26-Jun-2023	Conference hall of the Beijing Palace Soluxe Hotel Astana	<b>Other</b>	<b>10-50</b>	<input type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify (Representatives of the international laboratory "Business and Human Rights", experts of the educational programs "International Law" and "Competition Law" of the M. S. Narikbayev KAZGUU University)	UNDP in Kazakhstan	The role of the NCP in promoting responsible business conduct in Kazakhstan
Meeting of the NCP Secretariat with representatives of the Department of Entrepreneurship and Investments of the city of Almaty	20-Jul-2023	The building of the KSU "Department of Entrepreneurship and Investments of Almaty"	<b>Webinar</b>	<b>&lt;10</b>	<input checked="" type="checkbox"/> Business (Representatives of the Department of Entrepreneurship and Investments of Almaty) <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify	Co-organization	Presentation on the current work, activities and functioning of the NCP of Kazakhstan and the OECD Guidelines for Multinational Enterprises, as well as discussion of possible areas of cooperation
Meeting of the NCP Secretariat with JSC "Nobel Almaty Pharmaceutical Factory", located in Almaty	21-Jul-2023	The building of JSC "Nobel AFF" in Almaty	<b>Meeting</b>	<b>&lt;10</b>	<input checked="" type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government	Co-organization	Presentation of the current work and activities of the NCP of Kazakhstan, the international standards of the OECD and the OECD Guidelines for Multinational Enterprises, as well as

					<input checked="" type="checkbox"/> Other, please specify (Heads and employees of the Department for the Development of the hospital sector and the Legal Department of Nobel AFF JSC)		discussion of problematic issues in the field of business
Meeting with the Youth Union of Kazakhstan in Almaty	04-Aug-2023	The building of the Association of Youth of Kazakhstan	Meeting	<10	<input checked="" type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify (Representatives of the Union)	Co-organization	Presentation of the experience of dispute resolution within the framework of the NCP
Training seminar on "Measures to promote responsible business in Kazakhstan" with the support of UNDP	28-Aug-2023	Conference hall of the Beijing Palace Soluxe Hotel Astana	Other	10-50	<input type="checkbox"/> Business <input checked="" type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	UNDP in Kazakhstan	Discussion of standards of responsible business conduct in the aspect of human rights provided for by the OECD standards
Foresight session within the framework of the XI Civil Society Forum of Kazakhstan	18-Oct-2023	Kazmedia Center in Astana	Conference	>100	<input type="checkbox"/> Business <input checked="" type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify (forum delegates, mediators, international speakers)	Civil Alliance of Kazakhstan	Presentation on the topic "Productivity of the NCP in resolving disputes between business and civil society"
Training on human rights in business P. Burabai, organized by UNDP	10-Nov-2023	P. Burabai	Other	50-100	<input checked="" type="checkbox"/> Business <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify	UNDP in Kazakhstan	Discussion of issues of compliance with OECD standards in the field of human rights, presentation of the experience of the Kazakh NCP in reviewing appeals

<b>Additional comments:</b>	
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<b>Total number of events:</b>	13
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## PART 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and pending initial assessment, or found to merit further examination after the initial assessment and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination after initial assessment).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

**We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide statements as soon as they become available**, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](#) to [ncp-specific-instances@oecd.org](mailto:ncp-specific-instances@oecd.org)<sup>1</sup>.

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<sup>1</sup> According to paragraph 53 of the Commentaries on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises on Responsible Business Conduct, “[...] NCPs will submit their annual report to the WPRBC and the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties. The WPRBC will provide the Committee with an analysis of NCP annual reports to include in the Annual Report on the Guidelines. NCP annual reports should include specific instances in Coordination, Initial Assessment, Good offices, Conclusion or Follow-up.”

**For all specific instances that are currently IN PROGRESS:**

<b>Names of parties<sup>2</sup></b>	<b>Date received</b> (dd/mm/yyyy)	<b>Submitter type</b> (NGO, trade union,, etc—see list in <a href="#">reporting form</a> )	<b>Industry sector</b> (Construction, manufacturing, etc—see list in <a href="#">reporting form</a> )	<b>Country/ies where the issues are taking place</b>	<b>Themes</b> (MNE Guidelines chapters)	<b>Date of initial assessment</b> (leave blank if pre-IA)	<b>Link to OECD database and/or public statement, if available</b>	<b>Did the NCP coordinate with other NCPs in the handling of this specific instance? If yes, which NCP(s).</b>
The case of the NGO "Industrial Professional Union of Machine Builders" against Alstom Kazakhstan LLP (Alstom Kazakhstan)	05-Dec-2022	Trade Union	Manufacturing	Kazakhstan	Human rights (Chapter IV), Labor and industrial relations (Chapter V)	11-Jan-2024	<a href="https://mneguidelines.oecd.org/database/instances/kz0004.htm">https://mneguidelines.oecd.org/database/instances/kz0004.htm</a>	Yes. Notifications were sent to the NCP of France about the receipt of an appeal against its multinational enterprise Alstom Kazakhstan, as well as about the progress of consideration of the appeal.
		Click here to enter text.	Click here to enter text.					
The case of the NGO "Industrial Professional Union of Machine Builders" against JSC "Stepnogorsk Bearing Plant"	05-Dec-2022	Trade Union	Manufacturing	Kazakhstan	Concepts and Principles (Chapter I), General Policy (Chapter II), Human Rights (Chapter IV), Labor and Industrial Relations (Chapter V)	28-Nov-2023	<a href="http://mneguidelines.oecd.org/database/instances/kz0005.htm">http://mneguidelines.oecd.org/database/instances/kz0005.htm</a>	No
		Click here to enter text.	Click here to enter text.					
The case from an individual to Astana Finance JSC	01-Aug-2023	Individual(s)	Choose an item.	Kazakhstan	General Policy (Chapter II), Information Disclosure (Chapter III), Consumer Interests (Chapter VIII)	Click or tap to enter a date.	<a href="https://mneguidelines.oecd.org/database/instances/kz0007.htm">https://mneguidelines.oecd.org/database/instances/kz0007.htm</a>	No
		Click here to enter text.	Click here to enter text.					
		Click here to enter text.	Click here to enter text.					

**Additional comments:**

<sup>2</sup> If the NCP's case-handling procedures prevent disclosing such information while the case is pending, please include generic information such as 'a company active in such sector' or 'an environmental NGO'.

**Total number of specific instances in progress:**

3

**For all specific instances that were CONCLUDED during the year:**

<b>Names of parties</b>	<b>Date received</b> (dd/mm/yyyy)	<b>Date concluded</b> (dd/mm/yyyy)	<b>Submitter type</b> (NGO, trade union,, etc—see list in <a href="#">reporting form</a> )	<b>Industry sector</b> (Construction, manufacturing, etc—see list in <a href="#">reporting form</a> )	<b>Country/ies where the issues are taking place</b>	<b>Themes</b> (MNE Guidelines chapters)	<b>Link to OECD database if available</b>	<b>Outcomes achieved</b>	<b>Does the final statement plan for a follow up?</b>	<b>Did the NCP coordinate with other NCPs in the handling of this specific instance? If yes, which NCPs.</b>	<b>Were parallel proceedings involved while handling the specific instance? If yes, please select the type.</b>
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.							Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.							Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.							Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.							Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.							Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.							Click here to enter text.

**Additional comments:**

**Total number of specific instances concluded:**

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**For all specific instances that were NOT ACCEPTED during the year:**

<b>Names of parties</b> 3	<b>Date received</b> (dd/mm/yyyy)	<b>Date concluded</b> (dd/mm/yyyy)	<b>Submitter type</b> (NGO, trade union,, etc—see list in <a href="#">reporting form</a> )	<b>Industry sector</b> (Construction, manufacturing, etc—see list in <a href="#">reporting form</a> )	<b>Country/ies where the issues are taking place</b>	<b>Themes</b> (MNE Guidelines chapters)	<b>Link to OECD database if available</b>	<b>Reasons for not accepting the specific instance:</b>	<b>Did the NCP coordinate with other NCPs during the handling of this specific instance? If yes, which NCPs.</b>	<b>Were parallel proceedings involved while handling the specific instance? If yes, please select the type.</b>
The case of the NGO "Industrial Professional Union of Machine Builders" against KAZAKHSTAN PARAMOUNT ENGINEERING LLP	05-Dec-2022	28-Nov-2023	Trade Union	Manufacturing	Kazakhstan	Concept and Principles (Chapter I), General Policy (Chapter II), Human Rights (Chapter IV), Labor and Industrial Relations (Chapter V)	<a href="https://mneguidelines.oecd.org/database/instances/kz0006.htm">https://mneguidelines.oecd.org/database/instances/kz0006.htm</a>	Company did not wish to engage	No	Domestic legal systems
			Click here to enter text.	Click here to enter text.						Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.						Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.						Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.						Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.						Click here to enter text.

<sup>3</sup> If, following an initial assessment, the NCP has decided not to disclose the name of one or several parties pursuant to paragraph 40 of the Procedures, please include generic information for that/those party/ies such as ‘a company active in such sector’ or ‘an environmental NGO’.

Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Choose an item.
			Click here to enter text.	Click here to enter text.						Click here to enter text.

<b>Additional comments:</b>	
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<b>Total number of specific instances not accepted:</b>	1
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**For all specific instances for which FOLLOW UP was performed during the year:**

<b>Names of parties</b>	<b>Date received</b> (dd/mm/yyyy)	<b>Date concluded</b> (dd/mm/yyyy)	<b>Date of follow up</b> (dd/mm/yyyy)	<b>Submitter type</b> (NGO, trade union,, etc—see list in <a href="#">reporting form</a> )	<b>Industry sector</b> (Construction, manufacturing, etc—see list in <a href="#">reporting form</a> )	<b>Country/ies</b>	<b>Themes</b> (MNE Guidelines chapters)	<b>Was a public follow up statement issued?</b>	<b>Link to the follow up statement, if applicable</b>
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
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<b>Additional comments:</b>	
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<b>Total number of follow ups:</b>	
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