

NCP Annual Reporting Questionnaire 2022

| A. CONTACT INFORMATION | |
|---|---|
| <i>Please provide the contact information of the person filling in the questionnaire.</i> | |
| Name: | The Secretariat of the NCP of Kazakhstan |
| Job title: | The Secretariat of the NCP of Kazakhstan |
| Email: | ncpkaz@economy.kz |
| Telephone number: | +7 7172 9999-12 |
| B. INSTITUTIONAL ARRANGEMENTS | |
| (a) Structure, location and composition of the NCP | |
| 1. What is the <u>structure of the NCP</u> ? | Multipartite |
| Did the structure of the NCP change in 2022? If yes, please provide details in the comment box at the end of this section. | No |
| 2. Who are the members of the NCP? <i>Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.</i> | The structure of the NCP consists of a collegial advisory body and a Secretariat. The NCP is headed by the Chairman – the Minister of National Economy of the Republic of Kazakhstan. The composition of the NCP is divided into the main and expert. The main composition of the NCP is a permanent collegial composition consisting of vice-ministers of the Ministry of National Economy, Justice of Foreign Affairs, Deputy Chairman of the National Chamber of Entrepreneurs "Atameken" and the public foundation "Legal Policy Research Center" in the number of no more than 5 (five) people. The expert composition is the involved composition of the NCP, which is formed depending on the subject of violations of the OECD Guidelines and consists of representatives of sectoral organizations and bodies, anti-corruption agency, heads of trade unions, non-governmental organizations. |
| 3. If the NCP is not a <u>single-agency NCP</u> , does it have a <u>secretariat</u> ? | Yes |
| If yes, in which ministry or agency? | Ministry of National Economy of the Republic of Kazakhstan |
| If yes, in which department of the ministry or agency? | JSC "Economic Research Institute" |
| If yes, did the location of the secretariat change in 2022? | No |
| 4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)? | Yes |
| If yes, please provide the name and date of this instrument, and a link if available | Resolution of the Government of the Republic of Kazakhstan dated November 12, 2012 No. 1453 "On determining the body responsible for the implementation of the functions of the National Contact Center in accordance with the practice of the countries of the Organization for Economic Cooperation and |

| | | | |
|---|--|---------------------------|---|
| | Development" Link to the document: http://adilet.zan.kz/rus/docs/P1200001453#z1 | | |
| <i>Additional comments on Structure, location and composition of the NCP</i> - | | | |
| (b) Advisory body | | | |
| 5. Does the NCP have one or several <u>advisory bodies</u> ? | No | | |
| If the NCP has several advisory bodies, please specify. | - | | |
| If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing <u>oversight</u> , etc.) | - | | |
| If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.) | - | | |
| If yes, were business stakeholders represented? | No | | |
| If yes, were trade union stakeholders represented? | No | | |
| If yes, were NGO stakeholders represented? | No | | |
| If yes, please indicate how often the advisory body(ies) meets | N/A | | |
| 6. If an advisory body provides oversight, please describe the oversight procedure | - | | |
| <i>Additional comments on Advisory body:</i> - | | | |
| (c) Human and financial resources | | | |
| 7. Does the NCP have dedicated full-time staff? <i>If yes, please indicate the number.</i> | No | 0 | |
| 8. Does the NCP have dedicated part-time staff? <i>If yes, please indicate in the second box the number of part-time staff members and in the third box the percentage of time spent on NCP matters for each part-time staff member.</i> | Yes | 3 | Director -10% Deputy Director -30% Chief Expert - 40% |
| 9. Have any full-time or part-time staff members joined the secretariat during the year? <i>If yes, please indicate how many.</i> | No | Click here to enter text. | |

| | | |
|---|---|---------------------------|
| 10. Have any full-time or part-time staff members left the NCP during the year? <i>If yes, please indicate how many.</i> | No | Click here to enter text. |
| 11. Did the NCP have a <u>dedicated budget</u> this year? | Yes | |
| If no, were financial resources for promotional activities allocated on an ad hoc basis when requested by the NCP? | Choose an item. | |
| If no, were financial resources for specific instances allocated on an ad hoc basis when requested by the NCP? | Choose an item. | |
| 12. During the year, did the human and financial resources available to the NCP allow it to: | | |
| Handle specific instances in an efficient and timely manner | Yes | |
| Organise promotional events | Yes | |
| Attend NCP meetings at the OECD | Yes | |
| Attend events organised by other NCPs | Yes | |
| Attend events organised by stakeholders | Yes | |
| Cover professional mediator fees or in-house mediator fees | Yes | |
| Conduct fact-finding research into specific instances | Yes | |
| If you answered no to any of the above, please specify | - | |
| <i>Additional comments on Human and financial resources:</i> - | | |
| (d) Reporting | | |
| 13. Does the NCP <u>report</u> to the executive on its activities? | Yes | |
| If yes, how often? | Once a year | |
| 14. Does the NCP report to the legislative body on its activities? | No | |
| If yes, how often? | N/A | |
| 15. Please indicate any other relevant transparency requirement (e.g. access to information or disclosure laws) applicable to the NCP in your country | All information regarding the activities of the NCP, including the progress of the consideration of appeals, is published on the official website of the NCP. | |
| <i>Additional comments on Reporting:</i> - | | |

C. INFORMATION AND PROMOTION

(a) NCP website¹

¹ This includes dedicated NCP webpages as part of the Ministry's website.

| | | |
|--|-----|--|
| 16. Does the NCP have a website? If yes, please provide the link in the second box. | Yes | http://ncp.economy.kz https://www.gov.kz/memleket/entities/economy/activities/6109?lang=en |
| If yes, was the website created/renovated this year? | Yes | |
| 17. Are the following items available on the NCP website? | | |
| Information about the Guidelines and the role of the NCP | | |
| The text of the Guidelines | Yes | |
| A description of the Guidelines | Yes | |
| The OECD Due Diligence Guidance Documents | Yes | |
| Explanatory text about due diligence | Yes | |
| Information on the NCP and its mandate | Yes | |
| The NCP Annual Report submitted to the OECD | Yes | |
| The NCP's Report to the executive and/or legislative (if applicable) | No | |
| The NCP's peer review report (if applicable) | No | |
| Information about specific instances | | |
| Information on how to submit a specific instance | Yes | |
| An online form to submit a specific instance | Yes | |
| The NCP's rules of procedure | Yes | |
| All final statements since 2011 | No | |
| Information on promotional activities | | |
| The NCP's promotional plan | Yes | |
| Information on upcoming events promoting the Guidelines | No | |
| Information on past events promoting the Guidelines | Yes | |
| Contact information | | |
| Information on how to make an enquiry to the NCP | Yes | |
| A phone number to reach the NCP directly | Yes | |
| An email address to reach the NCP directly | Yes | |
| <i>Additional comments on NCP website:</i> In the contacts section on the NCP website, there is a link to the chatbot, which also allows you to ask questions directly to the NCP | | |
| (b) Promotional activities² | | |

² Attendance of NCP meetings held at the OECD and other OECD events such as the Global Forum on Responsible Business Conduct are not considered to be promotional activities.

| | |
|---|-----|
| 18. Does the NCP have a promotional plan for the coming year(s)? | Yes |
| 19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i> | Yes |
| 20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? <i>If yes, please provide details in table 2 in Annex 1.</i> | Yes |
| 21. Did the NCP make use of social media to communicate on NCP promotional activities during the year? | No |
| 22. Did the NCP hold a <u>stakeholder meeting</u> during the year? | Yes |
| 23. Did the NCP promote the Guidelines among the business community during the year? | Yes |
| 24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year? | No |
| 25. Did the NCP promote the Guidelines among NGOs during the year? | Yes |
| 26. Did the NCP promote the Guidelines among trade unions during the year? | No |
| 27. Did the NCP promote the Guidelines among government agencies during the year? | Yes |
| 28. Did the NCP promote the Guidelines among embassies abroad during the year? | Yes |
| 29. Did the NCP promote the Guidelines to investment promotion agencies during the year? | Yes |
| 30. Did the NCP focus on any of the following during promotional activities during the year?: | |
| OECD Due Diligence Guidance for Responsible Business Conduct | Yes |
| OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector | Yes |
| Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors | Yes |
| OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas | Yes |
| OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector | Yes |
| OECD-FAO Guidance for Responsible Agricultural Supply Chains | Yes |

Additional comments on the section

Promotional activities:

A Codex of Conduct has been prepared for Kazakhstan Multinational Enterprises, which is posted on the NCP website, and which contains information on due diligence in general and on individual sectors

| D. SPECIFIC INSTANCES | |
|--|--|
| (a) NCP rules of procedure for handling specific instances | |
| 31. Does the NCP have rules of procedure describing the handling of specific instances? | Yes |
| 32. Are the rules of procedure available online? <i>If yes, please provide a link in the second box. If no, please upload a copy of the rules of procedure in the Additional Materials section at the end of the online questionnaire</i> | Yes http://economy.kz/documents/OECD/NKC/Poryadok_rassmotreniya_obr_E_NG.pdf |
| 33. Were the NCP's rules of procedure modified this year? | No |
| <i>Additional comments on the section Rules of procedure:</i> - | |
| (b) Specific instance practicalities | |
| 34. Does the NCP confirm receipt of a specific instance submission? | Yes |
| 35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance? | Yes |
| 36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)? | No |
| 37. Did the NCP engage professional mediators during the year? | No |
| 38. Did the NCP staff or members conduct mediation this year? | No |
| If yes, or no: was mediation conducted by an external mediator? | - |
| <i>Additional comments on the section Specific instance practicalities:</i> - | |
| (c) Reporting specific instances | |

| | |
|--|-----|
| 39. Did the NCP receive new specific instance submissions during the year? <i>If yes, please also provide details in Annex 2 of the NCP Annual Reporting Annex document to be uploaded at the end of the online questionnaire</i> | Yes |
| 40. Did the NCP close specific instances during the year? <i>If yes, please also provide details in Annex 2 of the NCP Annual Reporting Annex document to be uploaded at the end of the online questionnaire</i> | Yes |
| 41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the year? <i>If yes, please also provide details in Annex 2 of the NCP Annual Reporting Annex document to be uploaded at the end of the online questionnaire</i> | No |
| 42. Did the NCP follow up on a case during the year? <i>If yes, please also provide details in Annex 2 of the NCP Annual Reporting Annex document to be uploaded at the end of the online questionnaire</i> | No |
| 43. Is the OECD case database accurate and up to date with regard to cases handled by the NCP? If not, please provide details (missing cases, out of date entries, broken links, etc.) in the additional comments section below. | Yes |
| <i>Additional comments on the section Reporting specific instances:</i> - | |

| E. PEER LEARNING AND PEER REVIEWS | |
|--|--|
| 44. Did the NCP take part in the following activities with other NCPs during the year: | |
| Host a peer learning activity | Yes |
| Participate in peer learning activities hosted by other NCPs | No |
| Co-operate with other NCPs in handling specific instances | No |
| Provide mentoring/capacity building to another NCP | No |
| 45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2022? | Yes |
| 46. Which topic would the NCP consider to be a priority to cover in a peer learning event? | NCP of Kazakhstan is interested in participating in events dedicated to: - approaches to the consideration of appeals; - issues of mediation and the use of other conciliation procedures in dispute resolution. |
| 47. Is the NCP interested in participating in developing tools for use by NCPs? | Yes |

| | |
|--|-------------------------|
| 48. Is the NCP interested in acting as a peer reviewer in the future? | Yes |
| If yes, please specify the semester and the year | 2023-2024, 2nd semester |
| Additional comments on the section <i>Peer-learning and peer reviews</i>: This year, the NCP held a meeting with the Korean NCP to exchange experience in the functioning of the NCP | |

| F. POLICY COHERENCE³ | | |
|--|---|-----|
| 49. Have the Guidelines been referred to in national legislation/regulations/policies adopted during the year? (e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement) | Yes | |
| If yes, please provide a short summary and a link to the legislation. <i>If a link is not available, please upload a copy of the legislation in the Additional Materials section at the end of the online questionnaire</i> | 1. The comprehensive plan "Program for increasing incomes of the population until 2025", approved by the Decree of the Government of the Republic of Kazakhstan dated April 14, 2022 No. 218, paragraph 43 (https://adilet.zan.kz/rus/docs/P2200000218) 2. The concept of the investment policy of the Republic of Kazakhstan until 2026, approved by the Decree of the Government of the Republic of Kazakhstan dated July 15, 2022 No. 482, section 3 (https://adilet.zan.kz/rus/docs/P2200000482) | |
| 50. Did your country adopt a National Action Plan (NAP) this year? <i>If yes, please indicate which type of NAP.</i> | No | N/A |
| If yes, please provide a link. | - | |
| If yes, was the NCP involved in the development of the NAP? | No | |
| If yes, does the NAP make reference to the Guidelines? | No | |
| If yes, does the NAP make reference to the NCP/give a role to the NCP in its implementation? | No | |
| 51. Was a NAP in development this year? <i>If yes, please indicate which NAP.</i> | No | N/A |
| If yes, did the NCP participate in the development of the National Action Plan? Please add details in the comments box at the end of the section. | Choose an item. | |
| 52. Did the NCP inform officials responsible for trade missions of its relevant statements and reports? | Yes | |
| 53. Did the NCP inform officials responsible for foreign trade and investment incentives of its relevant statements and reports? | Yes | |

³ Paragraph 37 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises: "Statements and reports on the results of the proceedings made publicly available by the NCPs could be relevant to the administration of government programmes and policies. In order to foster policy coherence, NCPs are encouraged to inform these government agencies of their statements and reports when they are known by the NCP to be relevant to a specific agency's policies and programmes [...]"

| | |
|---|---|
| 54. Did the NCP inform officials responsible for public procurement of its relevant statements and reports? | No |
| 55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process? | No |
| If yes, please provide a link to the legislation <i>If a link is not available, please upload a copy of the legislation in the Additional Materials section at the end of the online questionnaire</i> | - |
| 56. To what extent has the NCP engaged or exchanged with public procurement officials/practitioners? | Not at all |
| 57. Please identify any specific initiatives/good practices involving public procurement and RBC in your country. | At the moment, such tools are not being developed |
| 58. Please include any other examples of policy coherence activities. | Not available |
| <i>Additional comments on Policy Coherence:</i> - | |

| | |
|---|----|
| G. CHALLENGES | |
| 59. Has the NCP faced any particular challenge(s) it would like to highlight this year? | No |
| <i>Additional comments on Challenges:</i> - | |

| | |
|-----------------------------------|------------|
| Please enter country name of NCP: | Kazakhstan |
|-----------------------------------|------------|

PART 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).
Please add the indicated information in the table below. Any links to recordings or announcements from events may be included in the comments box. They may also be uploaded as documents with the Annex.
Please add additional lines if needed. (Right click and select insert rows. The selection fields can be copied and pasted into the new rows)

TABLE 1

NCP-organised and co-organised events to promote the Guidelines and/or the NCP

| Title | Date <i>(dd/mm/yyyy)</i> | Location | Type of event | Size of audience | Organised or co-organised? | Targeted audience <i>Select all that apply.</i> | Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i> |
|-------|-----------------------------|----------|---------------|------------------|----------------------------|--|---|
|-------|-----------------------------|----------|---------------|------------------|----------------------------|--|---|

| | | | | | | | |
|---|-------------|---|---------|----|--------------|---|---|
| Meeting with the association of legal entities "Civil Alliance of Kazakhstan" | 02-Mar-2022 | The building of the Secretariat of the NCP – JSC "Economic Research Institute" | Meeting | 3 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of the association of legal entities | Mutual systematic cooperation in order to disseminate standards of responsible business conduct with the active support of civil society |
| Meeting with international experts of the UN Development Program | 01-Apr-2022 | The building of the Secretariat of the NCP – JSC "Economic Research Institute" | Meeting | 6 | Co-organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify International experts of UNDP | Discussion of possible joint partnership |
| Meeting with the American Bar Association (ABA) | 05-May-2022 | The building of the Secretariat of the NCP – JSC "Economic Research Institute" | Meeting | 4 | Co-organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of the Association | Discussion of issues of mutual cooperation |
| Field seminar for the plant of "Bohmer Armature Kazakhstan" LLP | 10-Jun-2022 | Administrative building of the plant "Bohmer Armature Kazakhstan" LLP, Karaganda region | Webinar | 15 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of the "Bohmer Armature Kazakhstan" LLP | Familiarization with the activities of the NCP, as well as the OECD Guidelines for Multinational Enterprises |
| Seminar for the Ministry of Labor and Social Protection of the Population of the Republic of Kazakhstan | 06-Aug-2022 | Online | Webinar | 5 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify | Familiarization with the activities of the NCP, as well as the OECD Guidelines for Multinational Enterprises, as well as solving problematic issues related to the labor sphere. |
| Meeting with the association of legal entities "Kazakhstan Association of Regional Environmental Initiatives "EcoJer" | 19-Aug-2022 | Online | Meeting | 5 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of the "Kazakhstan Association of Regional Environmental Initiatives "EcoJer" | Presentation of the activities of the NCP, the provisions of the OECD Guidelines for Multinational Enterprises, as well as discussion of issues of joint cooperation in environmental protection. |
| Meeting with the public association | 25-Aug- | Online | Meeting | 3 | Organised | <input type="checkbox"/> Business representatives | Presentation of the activities of the NCP, the |

| | | | | | | | | |
|--|-------------|--------|--|---------|-----------|--|--|--|
| "Human Rights and Consumer Protection Center – Atakent" | 2022 | | | | | <input checked="" type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify | provisions of the OECD Guidelines for Multinational Enterprises, as well as discussion of cooperation in consumer protection issues. | |
| Seminar for regional leaders of the association of legal entities "Civil Alliance of Kazakhstan" | 08-Sep-2022 | Online | Webinar | 17 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Regional leaders of the association of legal entities "Civil Alliance of Kazakhstan" | NCP of Kazakhstan and the OECD Guidelines on the example of international cases. | |
| Meeting with the public association for Consumer Protection "Adilet" | 27-Sep-2022 | Online | Meeting | 3 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of the public association for Consumer Protection "Adilet" | Presentation of the activities of the NCP, the provisions of the OECD Guidelines for Multinational Enterprises, as well as discussion of cooperation in consumer protection issues. | |
| Meeting with the Committee of Technical Regulation and Metrology under the Ministry of Trade and Integration of the Republic of Kazakhstan | 03-Oct-2022 | | The building of the Secretariat of the NCP – JSC "Economic Research Institute" | Meeting | 3 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify | Discussion of standards of responsible business conduct in accordance with the OECD Guidelines for Multinational Enterprises in the field of human rights and environmental protection, tax payment, ensuring appropriate conditions for workers, maintaining conditions of fair competition, combating bribery, and dissemination of achievements in science and technology policy. |
| Meeting with the International Arbitration Center of the Astana International Financial Center (IAC AIFC) | 04-Oct-2022 | | The building of IAC AIFC | Meeting | 4 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of the International Arbitration Center of the Astana International Financial Center | Discussion of raising awareness of the NCP and the OECD Guidelines for Multinational Enterprises, as well as the development of an independent arbitration system and alternative dispute resolution. |
| Seminar for enterprises belonging to the association of legal entities "BeNeLux Chamber of Commerce" | 07-Oct-2022 | Online | Webinar | 15 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government | Presentation of the activities of the NCP, the provisions of the OECD Guidelines for Multinational Enterprises, as well as the presentation of the principles. | |

| | | | | | | | |
|--|-------------|--|------------|----|-----------|--|--|
| | | | | | | <input checked="" type="checkbox"/> Other, please specify Enterprises included in the association of legal entities "BeNeLux Chamber of Commerce" | |
| Meeting with M.S. Narikbayev KAZGUU University | 17-Oct-2022 | Online | Meeting | 5 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of M.S. Narikbayev KAZGUU University | Presentation of the current work and activities of the NCP, as well as discussion of research work in the development of the scientific potential of young people and academic involvement in the expansion of the practice of the NCP. |
| Meeting with JSC "National Company "KazMunayGas" | 21-Oct-2022 | Online | Meeting | 11 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Heads and employees of the departments of strategy and portfolio management, legal support of international activities, management of organizational and sustainable development, compliance service of JSC "National Company "KazMunayGas". | Presentation of the current work and activities of the NCP of Kazakhstan, the international standards of the OECD and the OECD Guidelines for Multinational Enterprises, discussion of the provision of advice. |
| The first anniversary conference of the NCP | 21-Nov-2022 | Hybrid format. Offline - The building of the Secretariat of the NCP – JSC "Economic Research Institute" | Conference | 41 | Organised | <input checked="" type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input checked="" type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of civil servants, representatives of multinational companies, trade unions, industry societies and associations, AIFC "Astana" | Presentation of a collective monograph on the activities of the NCP, discussion of compliance with OECD standards in the areas of human rights, environmental protection, consumer protection, investment attraction, as well as discussion of the role of mediators in the activities of the NCP as a mechanism for alternative dispute resolution. |
| Meeting with the public organization "Industrial Professional Union of Machine Builders" | 23-Nov-2022 | The building of the Secretariat of the NCP – JSC "Economic Research Institute" | Meeting | 5 | Organised | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input checked="" type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input type="checkbox"/> Other, please specify | Presentation of the activities of the NCP, the provisions of the OECD Guidelines for Multinational Enterprises, as well as discussion of cooperation in the protection of workers' rights. |

Additional comments:

| | |
|--------------------------------|----|
| Total number of events: | 16 |
|--------------------------------|----|

TABLE 2

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others

| Title | Date (dd/mm/yyyy) | Location | Type of event | Size of audience | Targeted audience <i>Select all that apply.</i> | Organiser(s) | Theme of the intervention |
|--|----------------------|---|---------------|------------------|--|--|--|
| International Spring Scientific School "New trends in mediation: science and the potential of application in practice" | 27-May-2022 | L.N. Gumilyov ENU University | Webinar | 40 | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of state bodies, professional mediators, political scientists, members of ethno-cultural centers, teachers and students of the L.N. Gumilyov ENU University. | L.N. Gumilyov ENU University | Applied mediation cases: OECD experience for Kazakhstan |
| Conference dedicated to the launch of projects with the support of the Government of Japan: "Global project "Business and Human Rights" (B+HR) in the Republic of Kazakhstan" and "Promotion of climate-smart agricultural technologies in Kazakhstan" | 12-Sep-2022 | Astana Marriott Hotel, Conference Hall | Conference | 70 | <input checked="" type="checkbox"/> Business representatives <input checked="" type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input checked="" type="checkbox"/> Government <input type="checkbox"/> Other, please specify | UNDP in Kazakhstan | - |
| Republican scientific and practical conference "Culture and values in the conditions of interethnic interaction in the New Kazakhstan", dedicated to the 90th anniversary of Professor M.M. Suzhikov | 21-Sep-2022 | RSE on PCV "Institute of Philosophy, Political Science and Religious Studies" of the KN of the Ministry of Internal Affairs of the Republic of Kazakhstan, Almaty, Kurmagazy str., 29 | Conference | 40 | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Political scientists, professors and doctors of political sciences, academic circles | RSE at the PHV "Institute of Philosophy, Political Science and Religious Studies" of the KN of the Ministry of Foreign Affairs of the Republic of Kazakhstan | 1. Experience in resolving interethnic conflicts within the framework of the Organization for Economic Cooperation and Development; 2. Mediation conciliation procedures as a way to resolve conflicts between TNCs and the local population |
| Conference "New Kazakhstan – an effective state with a strong civil society" | 07-Oct-2022 | Conference hall of the Ramada by Wyndham Hotel | Conference | 50 | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government | Association "Civil Alliance of Kazakhstan" | Presentation of the current activities of the NCP of Kazakhstan and the OECD Guidelines. Interaction of the NCP with the civil sector |

| | | | | | | | |
|---|----------------|--|----------------------|----|--|--|---|
| | | | | | <input checked="" type="checkbox"/> Other, please specify Leaders of Civil Alliances of all regions of Kazakhstan | | |
| II International Pharmaceutical Forum "GLOBAL PHARM" | 26-Oct-2022 | IEC EXPO | Forum | 70 | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify International pharmaceutical experts, scientists and practitioners, major manufacturers of medical products, heads of central government agencies and national companies, Kazakhstani and foreign entrepreneurs, representatives of WHO, UNICEF, public medical organizations and communities, international pharmaceutical companies of Kazakhstan and other countries. | Ministry of Health of the Republic of Kazakhstan and SK-Pharmacy LLP | Pharmaceutical business is also a business |
| International Scientific and Practical Conference "Law, Ecology and Sustainable Development: State and Prospects" | 10-Nov-2022 | Hybrid format. Offline building L.N. Gumilyov ENU University | Conference | 30 | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of academic and scientific circles, students | L.N. Gumilyov ENU University | The role of international organizations in dispute resolution on the example of the OECD |
| UNDP training on human rights due diligence | 16-17-Nov-2022 | Conference hall of the Park Inn Hotel | Educational training | 35 | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify Representatives of the quasi-public sector, Japanese multinational companies operating in the territory of the Republic of Kazakhstan, public associations and industry centers | UNDP in Kazakhstan | Familiarization with the practice of implementing due diligence in the field of human rights in company policies, the UN Guidelines on Human Rights in Business, exchange of experience in identifying and recognizing human rights risks |
| International Scientific Autumn School of Mediation "New trends in mediation. Science and application potential in the educational environment" | 26-Nov-2022 | Hybrid format. Offline building L.N. Gumilyov ENU University | Training seminar | 6 | <input type="checkbox"/> Business representatives <input type="checkbox"/> NGOs <input type="checkbox"/> Trade Unions <input type="checkbox"/> Academia <input type="checkbox"/> Government <input checked="" type="checkbox"/> Other, please specify | L.N. Gumilyov ENU University | Science and Applied Mediation cases: OECD experience for Kazakhstan |

| | | | | | | | |
|--|--|--|--|--|--|--|--|
| | | | | | Representatives of state bodies, professional mediators, political scientists, members of ethno-cultural centers, teachers and students of the L.N. Gumilyov ENU University. | | |
|--|--|--|--|--|--|--|--|

Additional comments:**Total number of events:**

8

PART 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and pending initial assessment, or found to merit further examination after the initial assessment and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination after initial assessment).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide statements as soon as they become available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](#) to ncp-specific-instances@oecd.org⁴.

For all specific instances that are currently IN PROGRESS:

| Names of parties | Date received (dd/mm/yyyy) | Submitter type (NGO, trade union,, etc—see list in reporting form) | Industry sector (Construction, manufacturing, etc—see list in reporting form) | Host Country | Themes (MNE Guidelines chapters) | Is the initial assessment publicly available? | Link to OECD database if available | Did the NCP co-operate with other NCPs in the handling of this specific instance? If yes, please describe. |
|--|-------------------------------|--|---|---------------------------|-------------------------------------|---|---|--|
| Branch Trade Union of Machine Builders against “Alstom Kazakhstan” LLP | 01-Dec-2022 | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | No | A notification has been sent to the OECD Secretariat to place a specific case in the Database of Specific Cases | Yes, a notification has been sent to the NCP of France about the receipt of a specific case in relation to a multinational enterprise whose head office is located in France |
| | | Click here to enter text. | Click here to enter text. | | | | | |
| Branch Trade Union of Machine Builders against JSC “EPK | 05-Dec-2022 | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | No | A notification has been sent to the OECD Secretariat to place a specific case in the Database of Specific Cases | No |
| | | Click here to enter text. | Click here to enter text. | | | | | |

⁴ According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

| | | | | | | | | | | |
|---|-------------|---------------------------|---------------------------|---------------------------|---------------------------|-----------------|---|----|--|--|
| Stepnogorsk" | | | | | | | | | | |
| Branch Trade Union of Machine Builders against "Kazakhstan Paramount Engineering" LLP | 05-Dec-2022 | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | Choose an item. | A notification has been sent to the OECD Secretariat to place a specific case in the Database of Specific Cases | No | | |
| | | Click here to enter text. | Click here to enter text. | | | | | | | |
| | | Click here to enter text. | Click here to enter text. | | | | | | | |
| | | Click here to enter text. | Click here to enter text. | | | | | | | |
| | | Click here to enter text. | Click here to enter text. | | | | | | | |

| | |
|-----------------------------|--|
| Additional comments: | |
|-----------------------------|--|

| | |
|--|---|
| Total number of specific instances: | 3 |
|--|---|

For all specific instances that were CONCLUDED during the year:

| Names of parties | Date received (dd/mm/yyyy) | Date concluded (dd/mm/yyyy) | Submitter type (NGO, trade union,, etc—see list in reporting form) | Industry sector (Construction, manufacturing, etc—see list in reporting form) | Host Country | Themes (MNE Guidelines chapters) | Link to OECD database if available | Outcomes achieved | Does the final statement plan for a follow up? | Did the NCP cooperate with other NCPs during the handling of this specific instance? If yes, please indicate which NCPs. | Were parallel proceedings involved while handling the specific instance? If yes, please select the type. |
|---------------------------|-------------------------------|--------------------------------|--|---|---------------------------|-------------------------------------|------------------------------------|---------------------------|--|--|--|
| Click here to enter text. | Click here to enter a date. | Click here to enter a date. | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Choose an item. |
| | | | Click here to enter text. | Click here to enter text. | | | | | | | Click here to enter text. |
| Click here to enter text. | Click here to enter a date. | Click here to enter a date. | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Choose an item. |
| | | | Click here to enter text. | Click here to enter text. | | | | | | | Click here to enter text. |
| Click here to enter | Click here to | Click here to enter a | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Choose an item. |

| | | | | | | | | | | | |
|-------|---------------|-------|---------------------------|---------------------------|--|--|--|--|--|---------------------------|---------------------------|
| text. | enter a date. | date. | Click here to enter text. | Click here to enter text. | | | | | | | Click here to enter text. |
| | | | Click here to enter text. | Click here to enter text. | | | | | | Click here to enter text. | |
| | | | Click here to enter text. | Click here to enter text. | | | | | | Click here to enter text. | |
| | | | Click here to enter text. | Click here to enter text. | | | | | | Click here to enter text. | |

| | |
|-----------------------------|--|
| Additional comments: | |
|-----------------------------|--|

| | |
|--|--|
| Total number of specific instances: | |
|--|--|

For all specific instances that were NOT ACCEPTED during the year:

| Name of parties | Date received (dd/mm/yyyy) | Date concluded (dd/mm/yyyy) | Submitter type (NGO, trade union., etc—see list in reporting form) | Industry sector (Construction, manufacturing, etc—see list in reporting form) | Submitter type (NGO, trade union., etc—see list in reporting form) | Industry sector (Construction, manufacturing, etc—see list in reporting form) | Host Country | Themes (MNE Guidelines chapters) | Link to OECD database if available | Reasons for not accepting the specific instance: | Did the NCP cooperate with other NCPs during the handling of this specific instance? If yes, please indicate which NCPs. | Were parallel proceedings involved while handling the specific instance? If yes, please select the type. |
|---|----------------------------|-----------------------------|---|--|---|--|---------------------------|----------------------------------|---|--|--|--|
| The case of Guliye v J. v. Telia Company AB | 06-Dec-2021 | Click here to enter a date. | Choose an item. | Choose an item. | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | http://mneguidelines.oecd.org/databases/instances/kz0002.htm | Based on the results of the assessment of all the information concerning the specific case, the NCP decided not to take into production a specific case because it is not within the competence of the NCP of Kazakhstan, due to the fact that the | No. But based on the results of this case, the relevant information was sent to the NCP of Sweden. | Choose an item. |
| | | | Click here to enter text. | Click here to enter text. | | | | | | | | Click here to enter text. |

| | | | | | | | | | | | | |
|--|-----------------------------|-----------------------------|---------------------------|---------------------------|-----------------|-----------------|---------------------------|---------------------------|---|--|---|---------------------------|
| | | | | | | | | | | | multinational enterprise in respect of which the appeal was filed is not a Kazakhstani, or an enterprise operating in the territory of the Republic of Kazakhstan. | |
| The case of Beisek eeva I. v. Amadeo Kazakhstan LLP, the official distributor of the Pandora brand in Kazakhstan | 15-Feb-2022 | Click here to enter a date. | Choose an item. | Choose an item. | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | https://mneguidelines.oecd.org/database/instances/kz0003.htm | The author withdrew his appeal, stopping further consideration in the NCP. | Yes. Notifications were sent to the Danish NCP about the receipt of an appeal against its multinational enterprise Pandora, as well as about the progress and outcome of the consideration of the appeal. | Choose an item. |
| | | | Click here to enter text. | Click here to enter text. | | | | | | | | Click here to enter text. |
| Click here to enter text. | Click here to enter a date. | Click here to enter a date. | Choose an item. | Choose an item. | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Choose an item. |
| | | | Click here to enter text. | Click here to enter text. | | | | | | | | |
| Click here to enter text. | Click here to enter a date. | Click here to enter a date. | Choose an item. | Choose an item. | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Choose an item. |
| | | | Click here to enter text. | Click here to enter text. | | | | | | | | |
| Click here to enter text. | Click here to enter a date. | Click here to enter a date. | Choose an item. | Choose an item. | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Choose an item. |
| | | | Click here to enter text. | Click here to enter text. | | | | | | | | |
| Click here to enter text. | Click here to enter a date. | Click here to enter a date. | Choose an item. | Choose an item. | Choose an item. | Choose an item. | Click here to enter text. | Click here to enter text. | Click here to enter text. | Choose an item. | Click here to enter text. | Choose an item. |
| | | | Click here to enter text. | Click here to enter text. | | | | | | | | |

| | | | | | | | |
|--|--|--|---------------------------|---------------------------|--|--|--|
| | | | Click here to enter text. | Click here to enter text. | | | |
|--|--|--|---------------------------|---------------------------|--|--|--|

| | |
|-----------------------------|--|
| Additional comments: | |
|-----------------------------|--|

| | |
|--|--|
| Total number of specific instances: | |
|--|--|